

Regressing Relative Reward

Recap: KL-reg RL objective

$$J(\pi) = \mathbb{E}_{x \sim \nu} \left[\mathbb{E}_{\tau \sim \pi(\cdot | x)} \hat{r}(x, \tau) - \beta \text{KL} \left(\pi(\cdot | x) \middle| \pi_{ref}(\cdot | x) \right) \right]$$

$$\hat{\pi}(\tau | x) \propto \pi_{ref}(\tau | x) \cdot \exp \left(\frac{\hat{r}(x, \tau)}{\beta} \right)$$

Stay close to π_{ref}

Optimize reward

Recap: DPO

$$\arg \max_{\theta} \sum_{x, \tau, \tau', z} \ln \frac{1}{1 + \exp \left(-z \cdot \beta \left(\ln \frac{\pi_{\theta}(\tau | x)}{\pi_{ref}(\tau | x)} - \ln \frac{\pi_{\theta}(\tau' | x)}{\pi_{ref}(\tau' | x)} \right) \right)}$$

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Use policies to model the reward difference (aka your LLM is your secret reward model)

But DPO's performance isn't as strong as RM+PPO in practice..

Evaluation and Generation gap (aka evaluation is easier than generation..)

When a reward / verifier is easier to learn, RM + PPO can win...

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1. DPO uses finite data + gradient descent to learn the generator directly

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Evaluation and Generation gap (aka evaluation is easier than generation..)

When a reward / verifier is easier to learn, RM + PPO can win...

1. DPO uses finite data + gradient descent to learn the generator directly
2. PPO uses the RM, and can take advantage of unseen prompts and new training data...

But DPO's performance isn't as strong as RM+PPO in practice..

PPO can also take advantage of the state-of-art RMs from the community...

RewardBench: Evaluating Reward Models

Evaluating the capabilities, safety, and pitfalls of reward models

[Code](#) | [Eval. Dataset](#) | [Prior Test Sets](#) | [Results](#) | [Paper](#) | Total models: 165 | * Unverified models | ⚠ Dataset Contamination |

Last restart (PST): 22:01 PDT, 28 Mar 2025

⚠ Many of the top models were trained on unintentionally contaminated, AI-generated data, for more information, see this [gist](#).



RewardBench Leaderboard

Model Search (delimit with ,)

Seq. Classifiers DPO Custom Classifiers Generative

Prior Sets

▲	Model	▲	Model Type	▲	Score	▲	Chat	▲	Chat Hard	▲	Safety	▲	Reasoning	▲
1	infly/INF-ORM-Llama3.1-70B		Seq. Classifier		95.1		96.6		91.0		93.6		99.1	
2	ShikaiChen/LDL-Reward-Gemma-2-27B-v0.1		Seq. Classifier		95.0		96.4		90.8		93.8		99.0	
3	nicolinho/ORM-Gemma-2-27B		Seq. Classifier		94.4		96.6		90.1		92.7		98.3	
4	Skywork/Skywork-Reward-Gemma-2-27B-v0.2		Seq. Classifier		94.3		96.1		89.9		93.0		98.1	
5	nvidia/Llama-3.1-Nemotron-70B-Reward *		Custom Classifier		94.1		97.5		85.7		95.1		98.1	

Today's question

PPO can be very expensive, can we develop RL algorithm that is more efficient and may be more effective?

Outline

1. Mirror descent — reward maximization subject to a KL reg to the old policy
2. Reparametrization trick and REBEL
3. Connections to old algorithms we learned

Mirror Descent

Let us assume that we are given a reward function $r(x, \tau)$
(e.g., learned by ourselves or an open-source model)

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$$\text{Want to } \max_{\pi} \mathbb{E}_{x, \tau \sim \pi(\cdot|x)} [r(x, \tau)]$$

π_{θ}

Mirror Descent

Mirror descent (MD) incrementally (iteratively) updates the policy:

Given π_t , we update to π_{t+1} as follows:

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$$\pi_{t+1} = \arg \max_{\pi} \mathbb{E}_{x, \tau \sim \pi(\cdot | x)} \left[\underbrace{r(x, \tau)} - \beta \underbrace{\text{KL}(\pi(\cdot | x) | \pi_t(\cdot | x))} \right]$$

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KL to the previous policy (e.g., recall NPG
and the logic behind PPO's clipping)

Mirror Descent

In theory, using the same idea we had from KL-reg RL, π_{t+1} has a closed-form:

$$\pi_{t+1} = \arg \max_{\pi} \mathbb{E}_{x, \tau \sim \pi(\cdot|x)} \left[r(x, \tau) - \beta \text{KL} \left(\pi(\cdot|x) \mid \overset{\pi_{\text{ref}}}{\pi_t(\cdot|x)} \right) \right]$$

$$\Rightarrow \pi_{t+1}(\tau|x) \propto \pi_t(\tau|x) \exp(r(x, \tau)/\beta)$$

$$\pi_{t+2}(\tau|x) \propto \pi_{t+1}(\tau|x) \exp(r(x, \tau)/\beta)$$

$$\pi_{t+3} \dots$$

$$\pi_{t+\infty}$$

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$$\Rightarrow \pi_{t+1}(\tau | x) \propto \pi_t(\tau | x) \exp(r(x, \tau) / \beta)$$

Q: can we easily implement π_{t+1} ?

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$$\pi_{x+1} \propto \pi_x \exp(\eta \sum_{\tau=0}^x r(x, \tau))$$

After T iterations, we can find a policy $\hat{\pi}$, s.t.,

$$\left| \mathbb{E}_{x, \tau \sim \hat{\pi}(\cdot|x)} r(x, \tau) - \mathbb{E}_{x, \tau \sim \pi^*(\cdot|x)} r(x, \tau) \right| \leq O(1/T)$$

(Proof out of the scope, see CS6789)

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1. Mirror descent — reward maximization subject to a KL reg to the old policy

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$$\sum_{\tau} \pi_{t+1}(\tau | x) = 1$$

Reparameterization

Mirror descent indicates the following ideal update:

$$\pi_{t+1}(\tau | x) = \pi_t(\tau | x) \exp(r(x, \tau) / \beta) / Z(x)$$

$$Z(x) = \sum_{\tau \sim \pi_t(\cdot | x)} \exp\left(\frac{r(x, \tau)}{\beta}\right)$$

Reparameterization

Mirror descent indicates the following ideal update:

$$\mu \pi_{t+1}(\tau | x) = \overset{\text{or}}{\pi_t(\tau | x)} \exp(r(x, \tau) / \beta) / Z(x)$$

1. Take log on both sides and rearrange terms, we get

$$r(x, \tau) = \beta \left(\ln \frac{\pi_{t+1}(\tau | x)}{\pi_t(\tau | x)} + \ln Z(x) \right)$$

$$r(x, \tau) - r(x, \tau')$$

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2. Instead of modeling reward, we model reward difference to cancel $Z(x)$:

$$r(x, \tau) - r(x, \tau') = \beta \left(\ln \frac{\pi_{t+1}(\tau | x)}{\pi_t(\tau | x)} - \ln \frac{\pi_{t+1}(\tau' | x)}{\pi_t(\tau' | x)} \right)$$

ideal
closed-form
solution
from MD

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$$\mathbb{E}_{x, \tau, \tau'} \left(\beta \left(\ln \frac{\pi_{t+1}(\tau | x)}{\pi_t(\tau | x)} - \ln \frac{\pi_{t+1}(\tau' | x)}{\pi_t(\tau' | x)} \right) - (r(x, \tau) - r(x, \tau')) \right)^2 \stackrel{!}{=} 0$$

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π_{t+1} should be the minimizer
regardless of what the
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In practice, we often use

$$x, \tau \sim \pi_t(\cdot | x), \tau' \sim \pi_t(\cdot | x)$$

$x \sim \mathcal{V} \leftarrow$ distribution of prompts

Reparameterization

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$$\mathbb{E}_{x, \tau, \tau'} \left(\beta \left(\ln \frac{\pi_{t+1}(\tau | x)}{\pi_t(\tau | x)} - \ln \frac{\pi_{t+1}(\tau' | x)}{\pi_t(\tau' | x)} \right) - \frac{(r(x, \tau) - r(x, \tau'))}{\text{Relative reward}} \right)^2$$

In practice, we often use

$$x, \tau \sim \pi_t(\cdot | x), \tau' \sim \pi_t(\cdot | x)$$

Relative reward

REBEL algorithm

Put things together, we arrive at the following iterative algorithm:

Given π_t , we compute π_{t+1} via least square regression:

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sample τ, τ' from the latest policy
 $\pi_t(\cdot | x)$, independently;

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Regressor Relative reward

Difference between REBEL and DPO

Discussion: what is the difference between DPO, REBEL, and PPO

PPO: x, τ, τ', z

PPO: RM + online RL (Train Value function)

Rebel: RM + "DPO" like But Reward signal



$\tau_1 \rightarrow \tau_2 \dots \rightarrow \tau_k$

Outline

$\tau_1 \rightarrow \tau_2$

$\tau_2 \rightarrow \tau_3$

BT: $\tau_1 \rightarrow \tau_3$

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REBEL

Consider parameterized policy π_θ , recall that rebel solves least square regression every iteration:

$$\theta_{t+1} = \arg \min_{\theta} \mathbb{E}_{x, (\tau, \tau') \sim \pi_{\theta_t}(\cdot | x)} \left(\underbrace{\beta \left(\ln \frac{\pi_{\theta}(\tau | x)}{\pi_{\theta_t}(\tau | x)} - \ln \frac{\pi_{\theta}(\tau' | x)}{\pi_{\theta_t}(\tau' | x)} \right)}_{\text{Regressor}} - \underbrace{(r(x, \tau) - r(x, \tau'))}_{\text{Target}} \right)^2$$

By Running Adam on θ

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In practice, hard to solve it exactly

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What happens if we solve it approximately? What happens if we perform

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What happens if we solve it approximately? What happens if we perform

1. one step of gradient descent

2. one step of Gauss-newton method

REBEL recovers variance-reduced policy gradient

Approximately solve the least square regression problem via just one step of gradient descent

$$\theta_{t+1} = \arg \min_{\theta} \mathbb{E}_{x, (\tau, \tau') \sim \pi_{\theta_t}(\cdot | x)} \left(\underbrace{\beta \left(\ln \frac{\pi_{\theta}(\tau | x)}{\pi_{\theta_t}(\tau | x)} - \ln \frac{\pi_{\theta}(\tau' | x)}{\pi_{\theta_t}(\tau' | x)} \right)}_{:\ell(\theta)} - (r(x, \tau) - r(x, \tau')) \right)^2$$

$Q(\theta)$

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$\theta_{t+1} \leftarrow \theta_t - \eta \nabla_{\theta} \mathcal{L}(\theta_t)$ Let's try this out!

$$\begin{aligned} \nabla_{\theta} \mathcal{L}(\theta) &= \left(\beta \left[\cancel{\ln \frac{\pi_{\theta}(\tau|x)}{\pi_{\theta_t}(\tau|x)}} - \cancel{\ln \frac{\pi_{\theta}(\tau'|x)}{\pi_{\theta_t}(\tau'|x)}} \right] - (r(x, \tau) - r(x, \tau')) \right) \left(\beta \cdot \cancel{\nabla \ln \pi_{\theta}(\tau|x)} - \beta \nabla \ln \pi_{\theta_t}(\tau|x) \right) \\ \text{set } \theta &= \theta_t \\ &= \beta \left(-(r(x, \tau) - r(x, \tau')) \right) \left(\nabla \ln \pi_{\theta_t}(\tau|x) - \nabla \ln \pi_{\theta_t}(\tau'|x) \right) \\ &= \beta \left(\nabla \ln \pi_{\theta_t}(\tau|x) (r(x, \tau) - r(x, \tau')) \right) \oplus \beta \nabla \ln \pi_{\theta_t}(\tau'|x) (r(x, \tau') - r(x, \tau)) \end{aligned}$$

REBEL recovers variance-reduced NPG

Approximately solve the least square regression problem via just one step of Gauss-newton

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GN approximate the non-linear part inside the square **via first-order Taylor expansion at θ_t**

$$\ln \frac{\pi_{\theta}(\tau | x)}{\pi_{\theta_t}(\tau | x)} - \ln \frac{\pi_{\theta}(\tau' | x)}{\pi_{\theta_t}(\tau' | x)} \approx \left(\nabla \ln \pi_{\theta_t}(\tau | x) - \nabla \ln \pi_{\theta_t}(\tau' | x) \right)^{\top} (\theta - \theta_t)$$

first-order
Term

REBEL recovers variance-reduced NPG

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Plug the linear approximation back and solve for θ :

$$\theta_{t+1} = \arg \min_{\theta} \mathbb{E}_{x,(\tau,\tau') \sim \pi_{\theta_t}(\cdot|x)} \left(\beta \left(\underbrace{\left(\nabla \ln \pi_{\theta_t}(\tau|x) - \nabla \ln \pi_{\theta_t}(\tau'|x) \right)^{\top}}_{\text{feature}} \underbrace{(\theta - \theta_t)}_{\text{linear in } \underline{\theta}} \right) - (r(x, \tau) - r(x, \tau')) \right)^2$$

REBEL recovers variance-reduced NPG

Approximately solve the least square regression problem via just one step of Gauss-newton

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Claim: this recovers the NPG update procedure (try this out after class!)

$$F_{\theta_t}^{-1} \left(\nabla \ln \pi_{\theta_t}(\tau|x) \cdot r \right)$$

Using RL to optimize 7B size model on TL;DR

Model size	Algorithm	Winrate (\uparrow)
6.9B	SFT	45.2 (± 2.49)
	DPO	68.4 (± 2.01)
	REINFORCE	70.7*
	PPO	77.6 \ddagger
	RLOO ($k = 2$)	74.2*
	RLOO ($k = 4$)	<u>77.9*</u>
	REBEL	78.1 (± 1.74)

* directly obtained from [Ahmadian et al. \(2024\)](#)

\ddagger directly obtained from [Huang et al. \(2024\)](#)

[REBEL: Reinforcement Learning via Regressing Relative Rewards, Neurips 2024]

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	REBEL	78.1 (± 1.74)

1. Online RL + RM is often much better than DPO

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Using RL to optimize 7B size model on TL;DR

Model size	Algorithm	Winrate (\uparrow)
6.9B	SFT	45.2 (± 2.49)
	DPO	68.4 (± 2.01)
	REINFORCE	70.7*
	PPO	77.6 \ddagger
	RLOO ($k = 2$)	74.2*
	RLOO ($k = 4$)	77.9*
	REBEL	78.1 (± 1.74)

* directly obtained from [Ahmadian et al. \(2024\)](#)

\ddagger directly obtained from [Huang et al. \(2024\)](#)

RLOO ($k=0$)
 $x = \tau_1 \tau_2 \tau_3 \tau_4$

1. Online RL + RM is often much better than DPO

2. REBEL is in par w/ PPO and RLOO ($k=4$), but much more computation and memory efficient

Swimmer experiments in openAI Gym

Given the same amount of **labeled** preference data, rebel can continue learning using fresh online data

